

To: Cllr Helen Brown (Chair)

Councillors: Geoff Collett, Chris Dolphin, Andy Dunbobbin, Andrew Holgate,  
Paul Johnson and Arnold Woolley

**Co-opted Member:**

Sally Ellis

7 February 2019

Dear Sir/Madam

You are invited to attend a meeting of the Audit Committee which will be held at 10.00 am on Friday, 15th February, 2019 in the Clwyd Committee Room, County Hall, Mold CH7 6NA to consider the following items

## A G E N D A

**1 APOLOGIES**

**Purpose:** To receive any apologies.

**2 DECLARATIONS OF INTEREST (INCLUDING WHIPPING DECLARATIONS)**

**Purpose:** To receive any Declarations and advise Members accordingly.

**3 MINUTES (Pages 5 - 14)**

**Purpose:** To confirm as a correct record the minutes of the meeting on 21 November 2018.

**4 TREASURY MANAGEMENT STRATEGY 2019/20, TREASURY MANAGEMENT POLICY STATEMENT, PRACTICES AND SCHEDULES 2019/20 - 2021/22, TREASURY MANAGEMENT QUARTER 3 UPDATE 2018/19 (Pages 15 - 94)**

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** To recommend to Cabinet and Council the 2019/20 Treasury Management Strategy, 2019/20 – 2021/22 Treasury Management Policy, Practices and Schedules. Quarterly update on matters relating to the Council's Treasury Management Policy, Strategy and Practices 2018/19.

5 **WALES AUDIT OFFICE (WAO) – ANNUAL AUDIT LETTER 2017/18** (Pages 95 - 100)

Report of Corporate Finance Manager - Leader of the Council and Cabinet Member for Finance

**Purpose:** The letter summarises the key messages arising from the Auditor General for Wales' statutory responsibilities under the Public Audit (Wales) Act 2004, and reporting responsibilities under the Code of Audit Practice for the financial year 2017/18.

6 **RISK MANAGEMENT UPDATE** (Pages 101 - 148)

Report of Chief Executive - Leader of the Council and Cabinet Member for Finance

**Purpose:** To endorse the Council's actions taken to mitigate the significant risks at the mid-year point.

7 **ANNUAL REVIEW OF THE CODE OF CORPORATE GOVERNANCE** (Pages 149 - 182)

Report of Chief Executive - Leader of the Council and Cabinet Member for Finance

**Purpose:** To endorse the review of the Code of Corporate Governance.

8 **INTERNAL AUDIT PROGRESS REPORT** (Pages 183 - 228)

Report of Internal Audit Manager -

**Purpose:** To present to the Committee an update on the progress of the Internal Audit Department.

9 **CORPORATE ANTI-FRAUD AND CORRUPTION STRATEGY AND FRAUD AND IRREGULARITY RESPONSE PLAN** (Pages 229 - 278)

Report of Internal Audit Manager -

**Purpose:** To outline to Members the updated Corporate Anti-Fraud and Corruption Strategy and Fraud and Irregularity Response Plan.

10 **WHISTLEBLOWING POLICY** (Pages 279 - 310)

Report of Internal Audit Manager -

**Purpose:** To outline to Members the updated Whistleblowing Policy.

11 **ACTION TRACKING** (Pages 311 - 316)

Report of Internal Audit Manager -

**Purpose:** To inform the Committee of the actions resulting from points raised at previous Audit Committee meetings.

12 **FORWARD WORK PROGRAMME** (Pages 317 - 324)

Report of Internal Audit Manager -

**Purpose:** To consider the Forward Work Programme of the Internal Audit Department.

Yours faithfully

A handwritten signature in black ink, appearing to read 'Robert Robins', with a horizontal line extending to the right.

Robert Robins  
Democratic Services Manager